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Date Mailed: October 22, 2003 Express Mail No. EV123872905US  
Application No. To be assigned Docket No. PC23055B By dln  
Application of Douglas J. M. Allen, et al.  
Entitled N-(INDOLE-2-CARBONYL)-L-ALANINAMIDE CRYSTAL FORMS

The following, due \_\_\_\_\_ in the U.S. Patent and Trademark Office, has been received there on the date stamped hereon:

- |  |  |
|--|--|
| <input checked="" type="checkbox"/> Specification <u>30</u> pages  | <input type="checkbox"/> Amendment                           |
| <input checked="" type="checkbox"/> Claims <u>6</u> pages  | <input type="checkbox"/> Reply                               |
| <input checked="" type="checkbox"/> Abstract <u>1</u> pages  | <input type="checkbox"/> Notice of Appeal                    |
| <input checked="" type="checkbox"/> Drawing(s) <u>7</u> sheets   | <input type="checkbox"/> Brief (3 copies)                    |
| <input checked="" type="checkbox"/> Declaration with <input checked="" type="checkbox"/> Power of Attorney | <input type="checkbox"/> Petition for Extension of Time      |
| <input type="checkbox"/> Assignment & Recordation Cover Sheet  | <input type="checkbox"/> Filing of Missing Parts             |
| <input type="checkbox"/> Letter of Transmittal   | <input type="checkbox"/> Express Mail Certificate            |
| <input checked="" type="checkbox"/> Disclosure Statement <u>3</u> References                               | <input type="checkbox"/> Associate Power of Attorney         |
| <input checked="" type="checkbox"/> Form PTO-FB-A820   | <input type="checkbox"/> Petition for Expedited Issuance for |
| <input type="checkbox"/> Issue Fee Transmittal   | <u>Foreign Filing License</u>                                |
| <input type="checkbox"/> Fee Address Indication Form   | <input type="checkbox"/> Provisional Cover Sheet             |
| <input type="checkbox"/> Priority Document   | <input type="checkbox"/> PTO-1390 (DO/EO/US) _____ pages     |
| <input type="checkbox"/> Cert. of Correction under 37 CFR 1.322(a)   | <input type="checkbox"/> Form PTO-1050                       |
| <input checked="" type="checkbox"/> Application Data Sheet   | <input checked="" type="checkbox"/> Fee Transmittal          |
| <input checked="" type="checkbox"/> Utility Patent Application Transmittal                                 |  |

RECORDABLE

FILE COPY

# FEE TRANSMITTAL

for FY 2003

☐ Applicant claims small status. See 37 CFR 1.27

Total Amount of Payment (\$)**1,422.00**

## Complete if Known

Application Number	To be assigned
Filing Date	Concurrently herewith
First Named Inventor	<b>Douglas J. M. Allen</b>
Examiner Name	To be assigned
Art Unit	To be assigned
Attorney Docket No.	<b>PC23055B</b>

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number

**16-1445**

Deposit Account Name

**Pfizer Inc.**

The Commissioner is authorized to: (check all that apply)

- ☐ Charge fee(s) indicated below ☐ Credit any overpayments  
☐ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	750	2001	375	Utility filing fee	<b>750</b>
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	filing fee	
SUBTOTAL (1)					<b>750</b>

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

			Extra Claims	Fee from below	Fee Paid
Total Claims	18	-20**=	0	x 18	= 0
Independent Claims	11	-3**=	8	x 84	= 672.00
Multiple Dependent				280	= 0

\*\* or number previously paid, if greater; For Reissues, see below

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	<b>672.00</b>
1201	84	2201	42	Independent claims in excess of 3	
1203	280	2203	140	Multiple dependent claim, if not paid	
1204	84	2204	42	**Reissue independent claims over original patent	
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					<b>672.00</b>

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late fee or oath	
1052	50	2052	25	Surcharge-late filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
1801	750	2801	375	Request for Continued Examination (RCE)	
1806	180	1806	180	Submission of Information Disclosure Statement	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	

Other Fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) **0**

## SUBMITTED BY

Name (Printed/Type)

**Carl J. Goddard**

Signature

*Carl J. Goddard*

Date

**10/22/2003**

## Complete (if Applicable)

Reg. Number

**39,203**

Telephone

**860-441-4902**

MAR 19 2004

Please type a plus sign (+) inside this box →

+

PTO/SB/05 (3-01)  
Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonapplications under 37C.F.R. §1.53(b))

Attorney Docket No.

PC23055B

First Inventor

Douglas J. M. Allen

Title

N-(INDOLE-2-CARBONYL)-L-ALANINAMIDE CRYSTAL FORMS

Express Mail Label No.

EV123872247US

**APPLICATION ELEMENTS**

ADDRESS TO:

Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ \*Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status  
See 37 CFR 1.27
3. ☒ Specification [Total Pages 37]  
(preferred arrangement set forth below)
- Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total sheets 7]
5. ☒ Oath or Declaration [Total pages 2]
- a. ☐ Newly executed (original or copy)
- b. ☒ Copy from a prior application (37 CFR §1.63(d))  
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Copy (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies)
- ii. ☐ Paper
- c. ☐ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☒ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: This application claims the benefit of U.S. Non-Provisional Application Serial No. 10/413,772, filed April 15, 2003, which claims priority to U.S. Provisional Application Serial No. 60/349,525, filed April 15, 2002.

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37CFR 1.76.

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No: 10/413,772

Prior application information: Examiner Andrea D. Souza SmallGroup/Art Unit: 1626

For **CONTINUATION OR DIVISIONAL APPS** only. The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts

**18. CORRESPONDENCE ADDRESS**

☒ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☐ Correspondence address below



28523

Name

Address

City

State

Zip Code

Country

Telephone

Fax

NAME (Print/type)

Carl J. Goddard

Registration No. (Attorney/Agent)

39,203

Signature

Date 10/22/2003